Assessing Usability Testing for People with Dementia

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ABSTRACT

This paper reports on a study which examines the value of several common usability testing protocols, methods and metrics when used to evaluate the usability of a new personalised reminiscence ‘app’. The app, called ‘Inspired’, is a bespoke app designed to support personalised reminiscence for people with dementia. The study focused on determining the value of commonly used methods for evaluating usability of apps designed for use by people with dementia and their caregivers. The study indicated that observation and recording of task completion rates and times produced the most reliable results. The think-aloud methodology was difficult for the people with dementia and did not produce any reliable data. Thinking-aloud whilst doing a task was perhaps a distraction since it requires a higher cognitive load. The systematic usability scale score which is derived from a post-test instrument is not reliable, as it had no association with the task completion times.

Categories and Subject Descriptors

Human computer interaction (HCI): HCI design and evaluation methods: User studies.

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Keywords

Usability protocols, usability, user experience, UX, human computer interaction, reminiscence, apps.

1. INTRODUCTION

The World Health Organisation estimates that there are approximately 47.5 million people with dementia worldwide and there are 7.7 million new cases diagnosed every year [1]. It is estimated that in the UK there are 850,000 people with dementia [2]. Dementia affects memory, thinking, language, judgment, and it ultimately affects the way a person communicates. For people with dementia, their ability to present rational ideas and to reason lucidly is diminished [3]. However, it has been demonstrated that people with dementia can participate in research and provide useful feedback on Information Technology (IT) solutions [4]. Dementia is a progressive condition, for which there is no known cure. Research into developing new treatments and ultimately finding a cure for dementia has become a UK government priority [5]. However, alongside this search for a cure, research into therapeutic interventions and methods to help people and transform their care while they live with dementia, provide an immediate and much needed support.

Reminiscence is an activity that can enrich the lives of people living with dementia. Reminiscence is the sharing of memories of our personal life experiences. The act of reminiscing has been recognised as serving many functions
that help create bonds between people, to help them cope with important life events and to attribute meaning to their lives [6]. The use of traditional prompts aimed at stimulating feelings and memories can be supported by the application of reminiscence systems to help reminiscing work [7]. Reminiscence systems have been defined as ‘the use of technology to support reminiscence work’ [8]. The use of technology to facilitate reminiscence has been found to increase opportunities for people living with dementia to participate in conversations and to enhance their social interactions [9].

Many software systems, apps and online social networking websites exist which provide the capability to gather, browse and share multimedia resources. However, there is very little research into the usability of these systems for the purpose of reminiscing amongst people with deteriorating cognitive function. In 2012, Thiry et al., [10] discovered that many older people do not use social networking sites or online communities because there is ‘too much going on’. Their research indicated a need for software systems which are ‘simpler and minimalistic, offering only the most basic support for content creation and management.’

The need to involve all stakeholders in the system design and to undertake usability testing of the user interface is imperative and this is widely accepted as good practice [11, 12]. As a result, human-computer-interaction researchers have proposed standard instruments, protocols and metrics for measuring ‘usability’ as a construct [13, 14]. However, where the target user group has diminished cognitive abilities and perhaps also physical impairments, issues can perhaps arise that pose problems when using these standard methods for usability testing [15].

As we move towards an inclusive society and the use of computer applications or ‘apps’ and ubiquitous devices become an integral part of everyday existence, there is an implicit need to design digital systems that can be used by all, regardless of their physical or cognitive abilities or impairments. It is therefore important that the design and development of digital systems and apps, whether these are general or specialist in purpose, should formally involve the intended target user group by employing usability protocols. This paper presents the development and usability assessment of the Inspired app, which is a reminiscence system for people with dementia. It proposes that in order to make user involvement a success there is a need to select traditional usability protocols carefully and tailor the evaluation/testing sessions to suit the target user group.

2. ‘Inspired’ – A Reminiscence app

The two primary aims of the app are to enable people with dementia to gather together and store selected personalised memorabilia (photographs, videos, sounds, music) and to provide easy access to these visual and audio-visual cues to support individual reminiscence. An Agile software development approach [15] was adopted to allow a functional prototype to be created early in the development lifecycle. The design is minimalist, using verbal descriptors as well as images and icons to reinforce and indicate functionality to the user.

3. Evaluating Usability

Usability is measured in terms of how easily a system can achieve its goals and how efficiently a user can interact with the system through its user interface. Nielson defines usability as ‘a quality attribute that assesses how easy user interfaces are to use’ [17]. Standard protocols to measure these attributes can be classified as: observation; concurrent thinking-aloud; single ease questions; recording by video and/or audio; and the systematic usability scale which is a post-test survey. These methods in turn provide metrics that are used by researchers to determine the usability of the user interface.

3.1 Observational approaches

Nielson believes that observing people using a system is the best way to understand what works and what does not work during the user experience (UX) [17]. He advocates the protocol of providing subjects with realistic, representative actionable tasks and to observe these subjects whilst they attempt each task to the best of their abilities. The task scenarios involve typical tasks that reflect the system’s intended use and that they mimic the real world as much as possible.

The concurrent ‘Think-aloud’ protocol (TAP) is a common observational technique for eliciting insight into the user’s cognition and thought processes. It was first utilised for evaluating user interface design by Lewis [18]. This protocol requires the user to perform a number of tasks while ‘thinking aloud’. The researcher records the user actions (written or sometimes using tape recordings or video recordings) for each of the tasks, as well as noting any problems and user perplexities.

Video analysis recording (REC) is commonly used to record and measure UX and usability. The availability of small mobile testing units to record user interactions with an app or website can provide invaluable insights into the usability of a system. This moderated ‘lab’ usability testing scenario is still one of the best ways to capture the rich experience of interacting with a mobile device [19]. It allows researchers to capture the rich interactions between the user and the device as well as any verbalisation from ‘thinking-aloud’.

3.2 Questionnaire-based approaches

The Single Ease Question (SEQ) is a 7-point rating scale to assess how difficult users find a task [20]. Using the 7-point rating scale, the user estimates the level of difficulty of the task before and after they have just attempted the task. This measure has greater validity since this metric is recorded immediately after the task as opposed to the end of the session (i.e. all tasks).
The systematic usability scale (SUS) is a post-test survey that has become an industry standard questionnaire for measuring the usability of a system [21]. It was first used in 1986 and it consists of 10 questions which facilitate answers in a Likert scale format. Each question has 5 response options (or ratings between 1 and 5 where 5 = strongly agree). The systematic usability scale instrument is a well-balanced survey since it consists of 5 questions with negative connotations and 5 with positive connotations. All Likert ratings are then converted to a systematic usability scale score (or SUS score) and the mean SUS score is used to represent the usability of the system. A mean SUS score greater than 68 is considered above average since this is the accepted mean SUS score from a distribution of SUS scores previously collected from usability tests.

3.3 Task completion-based approaches

Task completion rate (TCR) is the percentage of users who completed the task [22]. Task completion is probably the most important metric that determines the usability of the system. For example, if a user cannot accomplish a representative task using a system then that system is poorly designed. Thus, a 100% task completion rate is the objective for any system since its intended purpose should be intuitive to its user base. The inverse of this metric is the task failure rate.

Task completion time (TCT) is amount of time in seconds required by a user to complete a given task [22]. An associated metric is the time-until failure, which is the amount of time a user is willing to dedicate before giving up on completing the task.

4. Study Design

The aim of this study was to explore and assess the value of usability protocols for a reminiscence app in context of use by people with dementia and their caregivers. Together with 7 couples comprising a person with dementia with their primary caregiver, researchers investigated the appropriateness of several common usability testing methods. Through this study, these measures were explored to inform an understanding of their validity and reliability. The usability testing methods and metrics chosen for investigation in this study includes: Concurrent think-aloud protocol (TAP) [18]; Video recording and audio recording devices (REC) [18,19]; Task completion rates (TCR) [21]; Task completion times (TCT) [21]; Single Ease Questions (SEQ) [19]; and Systematic Usability Scale (SUS) [22].

The value of each of these protocols was examined in a series of workshops during a 6-week period, with a series of 5 workshops planned. The first workshop consisted of a pilot test which was conducted with the lead user couple to identify any potential issues or barriers that would arise for people with dementia when testing the app. The subsequent “usability workshops” were carried out with the 6 other testing couples over a period of 4 weeks.

5. Experiments

A user group comprising of 14 people was established to test the usability of the app for people with dementia and their caregivers. This group comprised of 7 people with dementia (5 males and 2 females) and their 7 female caregivers. It included a lead user couple LU) (a male person with dementia aged 42 and his female caregiver) involved in the research study from the beginning to inform the design of the app at various stages in the development lifecycle. The remaining 12 participants (5 male and 1 female persons with dementia and their 6 female caregivers) were identified by the Alzheimer’s Society Home Support Network and the research team provided detailed information about the study to the interested couples. These 12 users agreed to test the usability of the app over a period of 4 weeks. The participants ranged in age from 55 to 77.

5.1 Preparation

The first (GW1) and last (GW2) group workshops were conducted in the form of an introductory group meeting and a final focus group, respectively. Couples participated in the two usability workshops (CW1, CW2) in their own home, where each person with dementia and each caregiver was instructed to perform a series of tasks using the app while being observed by the researchers who watched and took notes. These tasks, for example, to ‘Open Music folder and find the song by The Beach Boys’ were scheduled to be completed on two separate occasions with each person being observed for around thirty minutes. It was planned that the first set of tasks would be recorded using an audio recording device and the second set of tasks would be recorded using a video recording camera.

12 typical tasks were identified for the users to complete in CW1 and CW2. These tasks were carefully written so they would be realistic, actionable and avoid unnecessary prompting from the caregiver or the researchers. The tasks in CW1 related to using the app to do simple reminiscing – interacting with photographs, watching movie clips and listening to audio clips. The tasks in CW2 concerned selecting, uploading and recording materials to the app to use for reminiscing.

Table 1 - Engagement matrix with usability measures

<table>
<thead>
<tr>
<th></th>
<th>CLU</th>
<th>GW1</th>
<th>CW1</th>
<th>CW2</th>
<th>GW2</th>
</tr>
</thead>
<tbody>
<tr>
<td>TAP</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td></td>
</tr>
<tr>
<td>REC</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TCR</td>
<td></td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TCT</td>
<td></td>
<td></td>
<td></td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>SEQ</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SUS</td>
<td></td>
<td>✓</td>
<td></td>
<td></td>
<td>✓</td>
</tr>
</tbody>
</table>

Table 1 above illustrates the range of usability protocols and metrics and their employment with the lead user couple (CLU), group workshops (GWn) and couple workshops (CWn). The following sub-sections discuss outline the
protocols used with these different groupings of participants.

5.2 Lead User Couple Workshop (CLU)
The lead user couple (1 male person with dementia and his female caregiver) tested the app during a 1-hour session to establish whether there would be any potential foreseeable issues that would prevent a person with dementia from participating in the usability workshops. The person with dementia was asked to complete a set of defined tasks and his interactions with the app were observed and recorded so that the researchers could establish a protocol for the user development workshops. Input and opinions from his caregiver were also recorded and these helped in the planning and preparation of the workshops for the user development group. The person with dementia was aged 42 at the time of testing and had a high level of computing skills. He also had his own collections of digital photographs, videos and music.

Usability testing with the lead user couple consisted of ‘think-aloud’ task analysis where the participant described what they were doing and their thinking process behind each interaction. The person with dementia was asked to comment on the image quality, display and size of text on the user interface and the sound. Feedback was also sought concerning the size of buttons and the help button and features were commented on. Observations were made regarding how easy/difficult it was for the person with dementia to interact with the touchscreen device. No obvious barriers were identified which would prevent people with dementia from testing the app.

5.3 First Group Workshop (GW1)
At GW1, the pre-test questionnaire was given to all participants to determine their previous experience and use of IT systems. Sauro and Lewis [21] argue that product and domain experience have much more impact on usability metrics than demographics. Accordingly, in this workshop all participants were shown how to use iPads in order to partially remove a digital literacy bias. They were not introduced to the app at this stage in case this might influence their ability to complete the usability tests in CW1 and CW2.

After meeting and greeting participants, researchers explained the purpose of GW1 and its context within the greater research study. Consent forms were signed and questions from the participants were answered. In GW1, participants were shown iPads and the functions of buttons and app icons on the screen were described verbally. They were verbally informed about how to turn on the iPad, launch an app (Safari), close the app and turn the device off. Participants were then encouraged to look at generic photographs on Flickr and shown how to ‘swipe’ from one page to the next.

5.4 First Couple Workshops (CW1)
Two researchers visited the couples and at each visit the participants were asked to complete a series of tasks. The first usability workshops in the home were to evaluate the usability of the system as an aid to reminiscing; the users browsed photographs, watched videos and listened to music using the app. On this occasion, the person with dementia and their caregiver were both given the same tasks and their task completion rates and task completion times were recorded.

The six tasks to complete were related to using the app to support simple reminiscing: looking at photographs, watching movie clips and listening to audio clips. Participants were asked to estimate the level of difficulty of each task using SEQ before and after they attempted it and the researcher recorded this on a grid.

5.5 Second Couple Workshops (CW2)
The second usability workshops in the home were to evaluate the usability of the system in terms of adding users to the system and uploading reminiscence materials, e.g., photographs, videos and audio clips using the app. On this occasion, the task completion rates and task completion times were recorded. Researchers took notes at all interviews and a mobile observation device (MOD-1000, a USB macro camera) was used to record the session where appropriate. Like before, a task completion grid was completed by one researcher while the other researcher took notes. Participants were asked to estimate the level of difficulty of each task using SEQ before and after they attempted it and the researcher recorded this on a grid.

5.6 Second Group Workshop (GW2)
After using the tablet device in their own home for a period of 1-2 weeks, the user group reconvened as a focus group to evaluate whether they had enjoyed using the app or not. Both positive and negative feedback was recorded at this meeting to give as much insight as possible into overall user satisfaction. The focus group was recorded using an audio recording device. All of the participants were asked to complete the SUS survey to measure the groups’ perceptions of the usability of the app.

6. Results
No obvious barriers to interaction with the touch screen device were identified during CLU testing with the lead user couple, which would prevent people with dementia from testing the app. The lead user indicated that the image quality, display and size of text on the user interface and the sound were satisfactory. He was able to use most of the buttons easily (Help and Exit were however identified as problematic). The person with dementia in CLU testing had difficulty relating to verbalising what he was doing (i.e., thinking aloud). He strayed off topic and could not describe the actions he was carrying out or what he was thinking as he attempted to complete the tasks. His caregiver had to bring him back to the actual task and steer the conversation towards the app.
6.1 General findings

After GW2, issues were identified and grouped based on an approach used in a similar participatory approach used in engaging with people with dementia [23]. From the results of CW1, it is evident that all of the caregivers could interact comfortably with the app when using it to browse reminiscing materials. The task completion rate for the caregivers was 100% in CW1. Task 2 (Scrolling through a group of images) presented challenges for all but one of the people with dementia and only two of the people with dementia completed task 6 (going back to the previous screen and exiting the app). All of the other tasks were completed by at least three of the people with dementia. However, one person with dementia was unable to complete any of the tasks. CW2 was completed in pairs, with the caregiver, and the person with dementia if they so wished. 96% of the tasks in CW2 were successfully completed by the participants.

The researchers planned to use think-aloud protocols to enhance the data collection. This was trialled in the CLU workshop. Both the caregiver and the lead user provided insightful comments during their use of the app. However, it was difficult for the person with dementia to verbalise and narrate what they were doing as, although they asked questions during the testing, they had to be reminded of the purpose of the workshop and reminded to think-aloud as they completed the tasks.

In CW2, a mobile observation device was used to record the image of the participant’s tablet while it rested on the table. This small device is mounted on a lightweight aluminium plate with a grip-tight surface and its size would suggest that it can be used unobtrusively to observe the use of the app. However, this was introduced in the CW2 with the caregiver where the camera was set up to record them uploading reminiscing materials to the app. After about 15 minutes into the first session, the camera was removed since the participant was confused by the additional equipment and it was interfering with his ability to complete the tasks using the app. It was decided not to use this in the following workshops. This is a tried and tested method for measuring usability. However, the additional hardware confused the participants and made it difficult for them to identify whether they should use it to take photographs of the tablet device so a decision was made after the first interview not to use the recording device. In short, subjects thought that the MOD-1000 mobile test device (camera) was part of the product. The task completion times for CW2 varied slightly depending on the age and experience of the participants. Researchers had estimated that it would take approximately 30 minutes to complete all 6 tasks. The person who identified themselves as most experienced in the use of IT systems completed all 6 tasks in 25 minutes, with the slowest completion rate being 34 minutes. The difficulty ratings assigned by caregivers to the 6 tasks that they completed with the person with dementia in CW2 were recorded and analysed (see Table 2).

### Table 2 - Results of SEQ for CW2

<table>
<thead>
<tr>
<th>Task</th>
<th>Expected difficulty rating (edr)</th>
<th>Actual difficulty rating (adr)</th>
<th>Delta (edr - adr)</th>
<th>p-values</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>4.17 (2.93)</td>
<td>3.00</td>
<td>-0.03</td>
<td>0.59</td>
</tr>
<tr>
<td>2</td>
<td>3.50 (2.88)</td>
<td>3.67</td>
<td>-0.12</td>
<td>0.92</td>
</tr>
<tr>
<td>3</td>
<td>3.33 (2.94)</td>
<td>2.67</td>
<td>-0.66</td>
<td>0.59</td>
</tr>
<tr>
<td>4</td>
<td>3.67 (2.58)</td>
<td>2.83</td>
<td>-0.84</td>
<td>0.86</td>
</tr>
<tr>
<td>5</td>
<td>2.33 (1.03)</td>
<td>2.67</td>
<td>0.34</td>
<td>0.58</td>
</tr>
<tr>
<td>6</td>
<td>3.50 (2.43)</td>
<td>3.83</td>
<td>0.32</td>
<td>0.47</td>
</tr>
</tbody>
</table>

A negative Delta value indicates the task was easier than expected, while a positive value means that the task was harder than anticipated by the user. In this study, although most of the tasks were actually easier than the user had imagined they would be, this is insignificant, due to the small number of users in the study (see p-values in table 3).

The systematic usability scale for post-test survey has become an industry standard questionnaire for measuring perceptions of usability. The mean rating given to the Inspired app by caregivers was 67.5% (SD=11.55) and the 4 people with dementia who completed the SUS questionnaire awarded the app 78.75%. These results indicate that the app is usable as a mean SUS score greater than 68 is considered above average [21]. However, the task completion rates (TCR) observed indicate that the app was more usable for caregivers than for people with dementia. This challenges the widely accepted reliability and validity of the SUS methodology of measuring usability. The most plausible reason for these discrepancies is that the people with dementia had a different perception of difficulty than the caregivers. Their replies indicated that they enjoyed using the app, that they would recommend it to a friend and that it was a pleasant experience. However, it is possible that they found SUS difficult to understand or that they could not completely remember their experience of using the app when answering questions after the event had taken place since this relies on reflection.

7. Discussion

The study indicated that observation and recording of task completion rates and times produced the most reliable results, while the think-aloud methodology was very difficult for the people with dementia and did not produce any reliable data (Table 3). The people with dementia found it difficult to assign a value for the SEQ (pre- and post-task ratings). Asking them to assign a number to a perceived difficulty rating was confusing and only the caregivers were able to give a reliable difficulty level to these questions. It was also found that completing post-test questionnaires administered after an event was difficult for the people with dementia who have problems with short-term memory and so the reliability of the SUS scores could not be assured. The overall SUS rating given to the Inspired app by caregivers was 67.5% and the 4 people with dementia who completed the SUS questionnaire awarded the app 78.75%. However, the task completion rates...
indicated that the app was more usable for caregivers than for people with dementia.

In general, post-test surveys such as the SUS instrument can be difficult since it requires accurate retrospective reflection of their user experience and the SUS survey itself has an intricate design where the Likert scale of each question alternates between the highest rating being positive and negative feedback. A total of 10 consistent errors/usability issues were identified as a result of the usability evaluations. These were all identified by the researchers observing the participants using the system and were confirmed by the completion rates and the focus group.

The methodology selected to assess usability, the choice of venue to carry out the usability testing and the amount of time given to allow participants to feel comfortable all affected the results of the tests.

Table 3 - Summary of findings on the suitability of usability measures

<table>
<thead>
<tr>
<th>Summary of findings</th>
<th>TAP</th>
<th>Requires high facilitator and/or caregiver interaction and management, supporting prospective memory of person with dementia</th>
</tr>
</thead>
<tbody>
<tr>
<td>REC</td>
<td></td>
<td>The MOD-1000 camera device was removed since it confused users as they thought that it was a function of the app and assumed that it was used to take photographs for reminiscence.</td>
</tr>
<tr>
<td>TCR</td>
<td></td>
<td>This was found to be a reliable usability metric for all usability tests independent of user profile.</td>
</tr>
<tr>
<td>TCT</td>
<td></td>
<td>This was found to be a reliable usability metric for all usability tests independent of user profile.</td>
</tr>
<tr>
<td>SEQ</td>
<td></td>
<td>Not useful for people with dementia as they find it difficult to estimate how difficult a task should be perhaps due to their lack of expectation and experience with digital technology.</td>
</tr>
<tr>
<td>SUS</td>
<td></td>
<td>Invalid as SUS scores from users with dementia is not reliable, as the scores did not concur with task completion rates. This is due to the fact that any post-test survey relies on reflection and short term memory to recollect their user experience. The SUS survey is also confusing to many users since the positivity of the Likert scales alternate amongst each of the questions.</td>
</tr>
</tbody>
</table>

In this study, researchers wanted to establish whether using standard protocols is adequate for evaluating the usability of an app where the target users have some form of cognitive impairment. As dementia damages the memory, and the thinking and reasoning functions of the brain, the protocols which involved estimating values, e.g. levels of difficulty or describing processes, e.g. thinking aloud as they completed, a task were the most problematic for the users.

8. Conclusion

The Inspired app to facilitate the process of reminiscence therapy was tested using standard usability metrics and methods by people with dementia and their family caregiver over a period of approximately 6 weeks. Our research suggests that use of a post-test survey such as SUS may not be reliable when measuring the user experience of people with dementia since these users suffer from a cognitive condition that affects their short term memory. In addition, we found that the camera based mobile usability testing unit (MOD 1000: Mobile Observation Device) could not be used because it confused the user further since the users began to interact with it as they had assumed that this device was part of the mobile application. Audio recording was also unnecessary since little to no ‘think-aloud’ data was recorded given people with dementia find it difficult to verbalise their human-computer interactions. Our conclusion is that standard protocols used to test the usability of IT systems and apps may not be appropriate when used with people with dementia. It is not enough to test the usability of a system using protocols where the measurement tools themselves may cause distress or confusion to the system users. Just as it is important to consider the needs of the user when using the system, it is equally important to be aware of the suitability of the criteria we are employing to measure its usability.

In conclusion, our research with a small sample size indicates that the Inspired mobile app is usable for some proportion of persons with dementia but not all. Our results showed that people who do not have dementia found the app easy to use and could support people with dementia to use it to reminisce. The paper also indicates that common usability testing protocols such as the SUS instrument, think-aloud protocols and external mobile macro cameras attached to the mobile testing device are not adequate for evaluating apps whose target users have been diagnosed with a progressive cognitive disease such as dementia. This suggests that there is a research opportunity to design new protocols or to optimise existing protocols to improve the data collected from usability testing of devices and apps in these contexts.

9. ACKNOWLEDGMENTS

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